CCR Rule Report: Initial Safety Factor Assessment
For
Coal Pile Runoff Pond
At Zimmer Power Station
1 Introduction

This Coal Combustion Residual (CCR) Rule Report documents that the Coal Pile Runoff Pond at the Zimmer Power Station meets the safety factor assessment requirements specified in 40 Code of Federal Regulations (CFR) §257.73(e). The Coal Pile Runoff Pond is located near Moscow, Ohio in Clermont County, approximately 0.6 miles north of the Zimmer Power Station. The Coal Pile Runoff Pond receives leachate from the Zimmer Power Station’s on-site landfill, discharge from the Chemical Metal Cleaning waste treatment tank, and pumped flows from the D Basin CCR surface impoundment and other non-CCR ponds at Zimmer Power Station.

The Coal Pile Runoff Pond is an existing CCR surface impoundment as defined by 40 CFR §257.53. The CCR Rule requires that the initial safety factor assessment for an existing CCR surface impoundment be completed by October 17, 2016.

The owner or operator of the CCR unit must obtain a certification from a qualified professional engineer stating that the initial safety factor assessment meets the requirements of 40 CFR § 257.73(e). The owner or operator must prepare a safety factor assessment every five years.
2 Initial Safety Factor Assessment

40 CFR §257.73(e)(1)
The owner or operator must conduct initial and periodic safety factor assessments for each CCR unit and document whether the calculated factors of safety for each CCR unit achieve the minimum safety factors specified in (e)(1)(i) through (iv) of this section for the critical cross section of the embankment. The critical cross section is the cross section anticipated to be the most susceptible of all cross sections to structural failure based on appropriate engineering considerations, including loading conditions. The safety factor assessments must be supported by appropriate engineering calculations.

(i) The calculated static factor of safety under the long-term, maximum storage pool loading condition must equal or exceed 1.50.

(ii) The calculated static factor of safety under the maximum surcharge pool loading condition must equal or exceed 1.40.

(iii) The calculated seismic factor of safety must equal or exceed 1.00.

(iv) For dikes constructed of soils that have susceptibility to liquefaction, the calculated liquefaction factor of safety must equal or exceed 1.20.

A geotechnical investigation program and stability analyses were performed to evaluate the design, performance, and condition of the earthen dikes of the Coal Pile Runoff Pond. The exploration consisted of hollow-stem auger borings and laboratory program including strength and index testing. Data collected from the geotechnical investigation, available design drawings, construction records, inspection reports, previous engineering investigations, and other pertinent historic documents were utilized to perform the safety factor assessment and geotechnical analyses.

In general, the subsurface conditions at the Coal Pile Runoff Pond consist of very stiff to hard clay embankment fill underlain by medium stiff to hard alluvial clay. The alluvial clay layer is underlain by a layer of medium dense to very dense sand and gravel extending to bedrock. Phreatic water is within the foundation soils of the Coal Pile Runoff Pond.

Three (3) representative cross sections were analyzed using limit equilibrium slope stability analysis software to evaluate stability of the perimeter dike system and foundations. The cross sections were located to represent critical surface geometry, subsurface stratigraphy, and phreatic conditions across the site. Each cross section was evaluated for each of the loading conditions stipulated in §257.73(e)(1).

The Soils Susceptible to Liquefaction loading condition, §257.73(e)(1)(iv), was not evaluated because a liquefaction susceptibility evaluation did not find soils susceptible to liquefaction within the Coal Pile Runoff Pond dikes. As a result, this loading condition is not applicable to the Coal Pile Runoff Pond.

Results of the Initial Safety Factor Assessments, for the critical cross-section for each loading condition (i.e. the lowest calculated factor of safety out of the cross sections analyzed for each condition), are listed in Table 1.

<table>
<thead>
<tr>
<th>Loading Conditions</th>
<th>§257.73(e)(1) Subsection</th>
<th>Minimum Factor of Safety</th>
<th>Calculated Factor of Safety</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum Storage Pool Loading</td>
<td>(i)</td>
<td>1.50</td>
<td>2.28</td>
</tr>
<tr>
<td>Maximum Surcharge Pool Loading</td>
<td>(ii)</td>
<td>1.40</td>
<td>2.28</td>
</tr>
<tr>
<td>Seismic</td>
<td>(iii)</td>
<td>1.00</td>
<td>1.60</td>
</tr>
<tr>
<td>Soils Susceptible to Liquefaction</td>
<td>(iv)</td>
<td>1.20</td>
<td>Not Applicable</td>
</tr>
</tbody>
</table>

Based on this evaluation, the Coal Pile Runoff Pond meets the requirements in §257.73(e)(1).
3 Certification Statement

CCR Unit: Zimmer Power Station; Coal Pile Runoff Pond

I, Victor A. Modeer, being a Registered Professional Engineer in good standing in the State of Ohio, do hereby certify, to the best of my knowledge, information, and belief that the information contained in this CCR Rule Report, and the underlying data in the operating record, has been prepared in accordance with the accepted practice of engineering. I certify, for the above-referenced CCR Unit, that the initial safety factor assessment dated October 15, 2016 meets the requirements of 40 CFR §257.73(e).

[Signature]

Printed Name

[Date]

[Stamp]
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